

Common Transversals

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Abstract

Given t families, each family consisting of finite sets, we show that if the families "separate points" in a natural way, and if the union of all the sets in all the families contains more than $s^{t-1} - 1$ elements, then a common transversal exists. In case each family is a covering family, the bound is $s^t - 1$. Both of these bounds are best possible. This work extends recent work of Longyear [2].

1 Introduction and statement of results

Throughout this paper, the symbol \mathcal{F} will always denote a family of families of sets, each of the families consisting of finite, but not necessarily distinct or nonempty, sets. The symbol W denotes the union of all of the sets contained in all families. Thus $\mathcal{F} = (F_1; F_2; \dots; F_t)$, where for each j , $1 \leq j \leq t$, $F_j = (F_j(1); F_j(2); \dots; F_j(s))$ is a family of (finite, but not necessarily distinct or nonempty) sets, $W = \bigcup_{j=1}^t \bigcup_{i=1}^s F_j(i)$ (or more briefly $W = \bigcup \mathcal{F}$). We always assume that \mathcal{F} separates points in the following sense. Let $\mathcal{F}(a) = W \cup F_j$, $1 \leq j \leq t$, we require

$$\bigcap F_j(a)$$

- (a) If $W > s^t - s^{t-1}$ then each family \mathcal{F} has a transversal, and s^{t-1} is best possible.
 (b) If $W > s^t - s^{t-1}$ then a common transversal of \mathcal{F} exists, and s^{t-1} is best possible.

Theorem 2. Let \mathcal{F} and W be as in the first paragraph of this paper.

- (a) If $W > (s+1)^t - (s+1)^{t-1} - 1$ then each family \mathcal{F} has a transversal, and $(s+1)^t - (s+1)^{t-1} - 1$ is best possible.
 (b) If $W > (s+1)^t - s^{t-1} - 1$ then a common transversal of \mathcal{F} exists, and $(s+1)^t - s^{t-1} - 1$ is best possible.

2 Proofs

Let us show first of all that the bounds given in Theorems 1 and 2 are best possible.

For Theorem 1(a) and Theorem 1(b) let

$$W = \{ (a_1; a_2; \dots; a_t) : 1 \leq a_j \leq s, 1 \leq j \leq t-1; 1 \leq a_t \leq s-1 \}$$

For all $j; i, 1 \leq j \leq t, 1 \leq i \leq s$ let $F_j(i) = \{ w \in W : \text{the } j\text{th coordinate of } w \text{ equals } i \}$. Note that $F_t(s) = \emptyset$, so that \mathcal{F} has no transversal. It is easy to see that s^{t-1} covers W , $1 \leq j \leq t$, and $\mathcal{F} = (F_1; F_2; \dots; F_t)$ separates points.

For Theorem 2(a), we let

$$W = \{ (a_1; a_2; \dots; a_t) : 0 \leq a_j \leq s, 1 \leq j \leq t-1; 0 \leq a_t \leq s-1 \} \quad (0, 0, \dots, 0)$$

For all $j; i, 1 \leq j \leq t, 1 \leq i \leq s$ let

$$F_j(i) = \{ w \in W : \text{the } j\text{th coordinate of } w \text{ equals } i \}$$

Again $F_t(s) = \emptyset$ so \mathcal{F} has no transversal, and it is easy to see that $(s+1)^t - (s+1)^{t-1} - 1$ covers W , $1 \leq j \leq t$, and $\mathcal{F} = (F_1; F_2; \dots; F_t)$ separates points.

For Theorem 2(b), let W be the set of all tuples $(a_1; a_2; \dots; a_t)$, $0 \leq a_j \leq s, 1 \leq j \leq t$, excluding the set $\{ (a_1; a_2; \dots; a_t) : 1 \leq a_j \leq s, 1 \leq j \leq t-1; a_t = s \} \cup \{ (0, 0, \dots, 0) \}$. For all $j; i, 1 \leq j \leq t, 1 \leq i \leq s$ let

$$F_j(i) = \{ w \in W : \text{the } j\text{th coordinate of } w \text{ equals } i \}$$

Then any element of $F_t(s)$ must have its t th coordinate equal to 0 for some t , and hence w cannot represent any set in the family, therefore w cannot belong to any common transversal of $F_1; F_2; \dots; F_t$. Therefore no common transversal exists. Again it is easy to see that $(s+1)^t - s^{t-1} - 1$ covers W , $1 \leq j \leq t$, and $\mathcal{F} = (F_1; F_2; \dots; F_t)$ separates points.

Throughout the remaining proofs, the following notation will be used. It is therefore fixed once and for all. For $t \geq 2$, let X be the set of $(t-1)$ -tuples $(a_1; a_2; \dots; a_{t-1})$, where each $a_j, 1 \leq j \leq t-1$ satisfies $1 \leq a_j \leq s$. Note that $|X| = s^{t-1}$. For each $x = (a_1; a_2; \dots; a_{t-1}) \in X$, we denote by $f(x)$ the set $\{ (a_1; a_2; \dots; a_{t-1}; a_t) : 1 \leq a_t \leq s \}$. Then since \mathcal{F} distinguishes points and \mathcal{F} covers W we have $f(x) \cap F_t(i) \neq \emptyset$ for all $x \in X$ and all $i, 1 \leq i \leq s$ and $W = \bigcup_{x \in X} f(x)$.

Proof of Theorem 1(a). The case $t = 1$ follows from the various definitions, so we assume and without loss of generality we restrict our attention to $t \geq 2$. We shall make use of the classical result of P. Hall [1] according to which F has a transversal if and only if $|F_t(I)| \geq |I|$ for all $I \subseteq \{1, 2, \dots, s\}$. Suppose that F does not have a transversal, and let $I = \{i_1, i_2, \dots, i_k\}$ ($F_t(I) = \emptyset$ if $k = 1$), hence $W = \bigcup_{1 \leq i \leq s, i \neq i_k} F_t(i)$. Then

$$\begin{aligned} W &= \left| \left(\bigcup_{x \in X} f(x) \right) \setminus \left(\bigcup_{1 \leq i \leq s, i \neq i_k} F_t(i) \right) \right| \\ &= \left| \bigcup_{x \in X} f(x) \cap F_t(i_k) \right| \\ &\leq |F_t(i_k)| \\ &= s^{t-1}(s-1) = s^t - s^{t-1}; \end{aligned}$$

contrary to the hypothesis of the Theorem. Hence F has a transversal. \square

Proof of Theorem 1(b). Since the family $F_t = (F_t(1); F_t(2); \dots; F_t(s))$ has a transversal (by Theorem 1(a)) and covers W , we can replace F_t by a partition $G = (G(1); G(2); \dots; G(s))$ such that $G(i) \subseteq F_t(i)$ for all i , $1 \leq i \leq s$ (The partition G can be constructed as follows. Let w_1, w_2, \dots, w_s be a transversal of F_t where $w_i \in F_t(i)$, $1 \leq i \leq s$. Let

$$\begin{aligned} G(1) &= F_t(1) \setminus w_1; \\ G(2) &= F_t(2) \setminus (w_1, w_2); \\ G(3) &= F_t(3) \setminus (w_1, w_2, w_3); \\ &\vdots \\ G(s) &= F_t(s) \setminus (w_1, w_2, \dots, w_{s-1}). \end{aligned}$$

Then $F' = (F_1; F_2; \dots; F_{t-1}; G)$ distinguishes points, hence $|G(I)| \leq |I|$ for all $x \in X$ and all I , $1 \leq i \leq s$ and any common transversal of $F_1, F_2, \dots, F_{t-1}, G$ is a common transversal of F_1, F_2, \dots, F_t .

At this point we could in fact replace F_t by a partition (since we know by Theorem 1(a) that every F_j has a transversal); however, it is not necessary, and so we do not.

We now demonstrate the existence of a common transversal of $F_1, F_2, \dots, F_{t-1}, G$.

To this end we define a *diagonal* of X to be a subset D of X such that $|D| = s$ and for each j , $1 \leq j \leq t-1$, the j th coordinates of the elements of D are w_1, w_2, \dots, w_s in some order. Note that whenever $D = \{x_1, x_2, \dots, x_s\}$ is a diagonal, $f(x_k) \cap F_j = \{w_k\}$, $1 \leq k \leq s$ and w_1, w_2, \dots, w_s are all distinct, then w_1, w_2, \dots, w_s is a common transversal of F_1, F_2, \dots, F_{t-1} .

Now we let D be some fixed collection of mutually disjoint diagonals of X whose union is $X = \bigcup D$. (The existence of D can be shown by induction.)

Since $|X| = s^{t-1}$ and every diagonal has s elements, we have $|D| = s^{t-2}$. For any diagonal D , let $f(D) = \bigcup f(x) : x \in D$. Then

$$W = \bigcup f(x) : x \in X = \bigcup f(D) : D \in D :$$

Now

$$s^t - s^{t-1} < W \leq \hat{a} \max_{D \in \mathcal{D}} f(D) \leq D \max_{D \in \mathcal{D}} f(D) ;$$

hence $s^2 - s < \max_{D \in \mathcal{D}} f(D) \cdot D$.

$G_1; G_2; \dots; G_t$ is also a common transversal of $F_1; F_2; \dots; F_t$. Since F separates points, the cardinal of $W \setminus W_0$ cannot exceed the cardinal of the set of all t -tuples $(a_1; a_2; \dots; a_t)$, $0 \leq a_j \leq s$ having at least one coordinate equal to 0 (excluding $(0; \dots; 0)$). That is, $|W \setminus W_0| \leq (s+1)^t - s^t - 1$. Hence

$$\begin{aligned} (s+1)^t - s^{t-1} - 1 &< |W| = |W_0| + |W \setminus W_0| \\ &\leq |W_0| + (s+1)^t - s^t - 1; \end{aligned}$$

and therefore

$$|W_0| > s^t - s^{t-1}.$$

This completes the proof of Theorem 2(b). □

References

- [1] Philip Hall, *On representatives of subsets*, London Math. Soc. **10** (1935), 26–30.
- [2] J.O. Longyear, *Common transversals in partitioning families*, Discrete Math. **17** (1977), 327–329.