5.3 **Non-Compliance**

5.3.1 As required by the Tri-Agency Agreement on the Administration of Agency Grants and Awards by Research Institutions, the University shall maintain adequate controls to ensure that the REB has approved all Research Involving Human Participants before Research Involving Human Participants has commenced, and that approval remains in place as long as such activities are carried out.

5.3.2 Failure to comply with this Policy and pertinent federal, provincial, and international guidelines/legislation for the protection of Human Participants and/or failure to conduct research in the manner in which it has been approved by the REB may be considered Misconduct in Research and may, accordingly, be handled under the procedures of Policy R60.01 (Responsible Conduct of Research).

5.4 **Ethics Review Agreements**

5.4.1 In order to facilitate collaborative research projects involving researchers, data, or participants from more than one institution, and in order to avoid a duplication of efforts with respect to research ethics reviews, the SFU REB may cede review to another institutional REB or it may conduct the research ethics review on behalf of other institutional partners.

5.4.2 The SFU REB must satisfy itself that there is a formal agreement between SFU and the other institution involved and/or that the other institution is compliant with the requirements set out in the Tri-Council Policy Statement.

5.4.3 An Ethics Review Agreement may be limited to a specific Research project.

5.5 **Reconsideration and Appeal of REB Decisions**

5.5.1 Researchers have the right to request, and the REB has an obligation to provide, reconsideration of an REB decision.

5.5.2 A researcher who continues to dispute an REB decision after reconsideration by the REB may appeal that decision through the formal appeals process.

6.0 ROLES AND RESPONSIBILITIES

6.1 The Research Ethics Board derives its authority from the University.

6.2 The Vice-President, Research and International is responsible for administrative and operational aspects of the REB.

6.3 The Vice-President, Research and International is responsible for determining ongoing financial and administrative resources that are required for the REB to fulfill its duties. By approving this Policy, the Board of Governors has delegated authority to the Vice-President, Research and International to ensure that these resources are provided.

6.4 The University shall authorize such number of REBs organized around volume and type of submission, as recommended to be appropriate by the Vice-President, Research and International.

6.5 The REB is responsible for reviewing all research covered by this Policy. It has the mandate to review and maintain ongoing oversight of the ethical acceptability of research on behalf of the

institution, including approving, rejecting, proposing Provisos to, or suspending or terminating any proposed or ongoing research involving Human Participants.

6.6 The REB shall operate in an impartial manner and be independent in its decision making. The

9.0 ACCESS TO INFORMATION AND PROTECTION OF PRIVACY

9.1 The information and records made and received to administer this policy are subject to the access to information and protection of privacy provisions of British Columbia's *Freedom of Information and Protection of Privacy Act* and the University's Information Policy series.

10.0 RETENTION AND DISPOSAL OF RECORDS

10.1 Information and records made and received to administer this policy are evidence of the University's actions to comply with the highest ethical practices and relevant institutional, national, and international standards and best practices in respect of Research Involving Human Participants. Information and records must be retained and disposed of in accordance with a records retention schedule approved by the University Archivist.

11.0 POLICY REVIEW

11.1 This policy must be reviewed every five years and may always be reviewed as needed.

11.2 Notwithstanding the latitude granted by Board Policy B10.00 section 5.5, any amendments subsequent to the adoption date of the Policy regarding the N2/CAREB Standard Operating