



2.0 PURPOSE

- 2.1 To set out the criteria for the approval and execution of external Research Funding Agreements.
- 2.2 To provide clarity of roles and responsibilities so administrators and others can manage their respective areas of responsibilities in an effective, efficient and transparent manner.
- 2.3 To ensure sound stewardship of all resources and assets, and to ensure that risk management processes are in place to support effective and informed decision-making, as well as to provide for consistent accountability and reporting throughout the University.

3.0 SCOPE AND JURISDICTION

- 3.1 This policy applies to all employees of the University.
- 3.2 This policy applies to all external Research Funding Agreements entered into by the University for research carried out by University faculty or staff, using University resources, services, facilities or equipment.
- 3.3 This policy does not apply to services sometimes performed using available equipment or personnel to provide non-research services to outside agencies or companies. Refer to [Policy AD 3.13 – Service Contracts](#) for this and similar types of activity.

4.0 DEFINITIONS

- 4.1 **Research Funding Agreements**
refers to agreements with the University to provide funding intended for research purposes, whether the agreement is considered a grant, contract, contribution agreement, or whether the agreement takes any other form, and whether it is legally enforceable or not.
- 4.2 **Research**
refers to an undertaking intended to extend knowledge through a disciplined inquiry and/or systematic investigation.
- 4.3 **Project Leader(s)**
refers to one or more persons leading a research project. This encompasses the term ‘Principal Investigator’ typically used by the Tri-Agency.

5.0 POLICY

- 5.1 The University will accept Research Funding Agreements which are consistent with the University's vision and strategy, conditional on the negotiation of mutually acceptable terms and conditions, and the availability of required expertise, facilities, and services.
- 5.2 Research Funding Agreements must conform to the standards set by this policy and its accompanying Procedures, and all relevant University policies, procedures, and regulations.

- 5.3 The agreement should be of overall benefit to the University and/or its community collaborators, providing resources, research infrastructure, or facilities not otherwise available from University funds; academic benefits to staff or educational opportunities for students; secondary benefits in line with the Strategic Research Plan; or a share of the revenues from the commercial use of the results.

6.5.4 maintaining an inventory of funded and unfunded research projects in the University.

7.0 RELATED LEGAL, POLICY AUTHORITIES AND AGREEMENTS

7.1 Individuals performing research under the auspices of the University must adhere to compliance-related policies, procedures and guidelines including:

7.1.1 R20.01 Ethics Review of Research Involving Human Participants

7.1.2 R20.02 Biosafety Policy

7.1.3 R20.03 Treatment of Animals in Research and Teaching

7.1.4 R20.04 Radiological Safety

7.1.5 R20.05 Non-Ionizing Radiation Safety, and other applicable University, Faculty and departmental procedures.

8.0 POLICY REVIEW

8.1 This policy shall be reviewed every five years.

9.0 AUTHORITY

9.1 The Vice-President, Research and International administers this policy.

10.0 INTERPRETATION

10.1 Questions of interpretation and application of this policy or its procedures shall be referred to the President, whose decision shall be final.

11.0 ASSOCIATED PROCEDURES

11.1 [External Research Funding Agreements: Procedure for Approval](#)

11.2 [Appendix A: Indirect Costs Recovery on External Research Funding Agreements](#)